



**Patent**

Docket Number: ANP-00114.P.5.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                             |   |                            |
|-----------------------------|---|----------------------------|
| In re application of:       | ) |                            |
|                             | ) |                            |
| PAKULA, Andrew              | ) | Examiner: To Be Determined |
|                             | ) |                            |
| Application No.: 10/820,179 | ) | Art Unit: To Be Determined |
|                             | ) |                            |
| Filed: April 6, 2004        | ) |                            |
|                             | ) |                            |
| For: A SCREENING METHOD FOR | ) |                            |
| IDENTIFYING LIGANDS FOR     | ) |                            |
| TARGET PROTEINS             | ) |                            |

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicant respectfully submits this information disclosure statement before the mailing of a First Office Action on the merits under 37 C.F.R. § 1.97(a)(3). Accordingly, no fee under 37 C.F.R. § 1.17(p) is deemed necessary.

Applicant submits herewith a listing of references on the attached Form 1449A and Form 1449B. Form 1449A includes a listing of references previously submitted in Parent U.S. Application Serial No. 09/904,186 or 08/978,381 or 08/547,889 or issued U.S. Patent No. 5,679,582. Form 1449B includes a listing of newly presented references.

Applicant submits 1 volume of references listed on the attached Form 1449B.

Information Disclosure Statement  
ANP-00114.P.5.1  
PAKULA, Andrew

Please apply any charges not covered, or any credits, to Deposit Account number 501321 in the name of David R. Preston & Associates, having Customer Number 24232.

Respectfully submitted,

Date: May 19, 2004



David R. Preston  
Reg. No. 38,710

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IFW

PTO/SB/21 (08-03)  
Approved for use through 08/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                      |                        |                 |
|---|----------------------|------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/820,179             |                 |
|   | Filing Date          | April 6, 2004          |                 |
|   | First Named Inventor | PAKULA, Andrew         |                 |
|   | Art Unit             | To be determined       |                 |
|   | Examiner Name        | To be determined       |                 |
| Total Number of Pages in This Submission  | 8                    | Attorney Docket Number | ANP-00114.P.5.1 |

| ENCLOSURES (Check all that apply)  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation   | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer   |   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | <b>Remarks</b><br>1. Form 1449A;<br>2. Form 1449B<br>3. 1 volume of references cited on Form 1449B;<br>4. Postcard |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                  |
|--|----------------------------------|
| Firm or Individual name                    | David R Preston<br>Reg No 38,710 |
| Signature                                  |                                  |
| Date                                       | May 19, 2004                     |

| CERTIFICATE OF TRANSMISSION/MAILING   |                     |
|---|---------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                     |
| Typed or printed name   | Raymond Wagenknecht |
| Signature   |                     |
| Date  | May 19, 2004        |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

|  |                                   |                                     |
|--|-----------------------------------|-------------------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT<br/>BY APPLICANT</b><br><br><b>Form 1449A: Previously Cited Documents</b><br><br>(Use several sheets if necessary) | Docket Number:<br>ANP-00114.P.5.1 | Application Number:<br>10/820,179   |
|  | Applicant: PAKULA, Andrew         |                                     |
|  | Filing Date:<br>April 6, 2004     | Group Art Unit:<br>To be determined |

| U.S. PATENT DOCUMENTS |    |                    |      |            |       |               |                               |
|-----------------------|----|--------------------|------|------------|-------|---------------|-------------------------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT<br>NUMBER | DATE | NAME       | CLASS | SUB-<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|                       | P1 | 4,859,609          | 1989 | Dull       |       |               |                               |
|                       | P2 | 5,200,504          | 1993 | Ghadiri    |       |               |                               |
|                       | P3 | 5,506,097          | 1996 | Potter     |       |               |                               |
|                       | P4 | 5,325,295          | 1994 | Fratantoni |       |               |                               |
|                       | P5 | 5,585,277          | 1996 | Bowie      |       |               |                               |
|                       | P6 | 6,020,141          | 2000 | Pantiliano |       |               |                               |
|                       | P7 |                    |      |            |       |               |                               |

| FOREIGN PATENT DOCUMENTS |    |                    |      |         |       |               |             |    |
|--------------------------|----|--------------------|------|---------|-------|---------------|-------------|----|
| EXAMINER<br>INITIAL      |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB-<br>CLASS | Translation |    |
|                          |    |                    |      |         |       |               | YES         | NO |
|                          | F1 | WO 02/03542        | 1992 | PCT     |       |               |             |    |
|                          | F2 | WO 93/14781        | 1993 | PCT     |       |               |             |    |
|                          | F3 | 0 613 007          | 1994 | EPO     |       |               |             |    |
|                          | F4 |                    |      |         |       |               |             |    |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

| OTHER DOCUMENTS<br>(Including Author, Title, Date, Pertinent Pages, Etc.) |     |   |
|---|-----|---|
| EXAMINER<br>INITIALS  |     | CITATION  |
|   | D1  | Tanigaki et al, Human Immunology 36:119-127 (1993)                |
|   | D2  | Grant et al, Biochemistry 31:9491-9501 (1992)                     |
|   | D3  | Randall, Science 257:241-245 (1992)                               |
|   | D4  | Zahnley, Inorganic Biochem 15:67-78 (1981)                        |
|   | D5  | Grimaldi et al, Biochem 21:145-151 (1982)                         |
|   | D6  | Pace et al, Biol Chem 255: 3862-3865 (1980)                       |
|   | D7  | Doyle et al, Carbohydrate Res 46:111-118                          |
|   | D8  | Casas-Finet et al, J Mol Biol 229:873-889 (1993)                  |
|   | D9  | Merabet et al, Biochem Biophys Acta 1161:272-278 (1993)           |
|   | D10 | Fernandez-Ballester, Biochem J 228:421-426 (1992)                 |
|   | D11 | Harrington J Biochem 24:275-280 (1992)                            |
|   | D12 | Green, J Biol Chem 266:21474-21481                                |
|   | D13 | Feng et al, Biochem 30:10150-10155 (1991)                         |
|   | D14 | Parsell et al, J Biol Chem 264:7590-7595 (1989)                   |
|   | D15 | Wagenhofer et al, Anal Biochem 175:422-432 (1988)                 |
|   | D16 | Lapadat et al, J Biol Chem 264:5510-5514 (1989)                   |
|   | D17 | Kuwajima et al, Int J Peptide Protein Res 27:18-27 (1986)         |
|   | D18 | Rosengart et al, Biochem Biophys Res Commun 152(1):432-440 (1988) |
|   | D19 | Nilsson et al, Annu Rev Microbiol 45:607-635 (1991)               |
|   | D20 | Waltz et al, J Biol Chem 265(13):7127-7137 (1990)                 |
|   | D21 | Pace et al, Biochemistry 27:3242-3246 (1998)                      |
|   | D22 | Airas, Biochemistry 33:7193 (1994)                                |
|   | D23 | Barcelo et al, Chem Biol Interactions 74:315 (1990)               |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

| OTHER DOCUMENTS<br>(Including Author, Title, Date, Pertinent Pages, Etc.) |     |   |
|---|-----|---|
| EXAMINER<br>INITIALS  |     | CITATION  |
|   | D24 | Bouvier et al, Science 265:398 (1994)   |
|   | D25 | Brandts et al, American Laboratory 22:30 (1990)                               |
|   | D26 | Brandts et al, Biochemistry 29:6927 (1990)                                    |
|   | D27 | Chavan et al, Biochemistry 33:7193 (1994)                                     |
|   | D28 | Davidson et al, Proc Natl Acad Sci, USA 91:2146-215 (1994)                    |
|   | D29 | Eftink, Biophys J 66:482-501 (1994)   |
|   | D30 | Erikson et al, Nature 335:371 (1992)  |
|   | D31 | Ferscht, Curr Opinion in Struc Biol 7:3 (1997)                                |
|   | D32 | Kahn, Biochemistry 31:8829-8839 (1992)  |
|   | D33 | Lee et al, FEBS Letts. 339:164-167 (1994)                                     |
|   | D34 | Morton et al, Biochemistry 34:8513 (1995)                                     |
|   | D35 | Schellman, Biopolymers 14:999 (1975)  |
|   | D36 | Schellman, Biophysical Chem 45:273 (1993)                                     |
|   | D37 | Scott et al, Science 249:386 (1990)   |
|   | D38 | Wang, J Biol Chem 268:20785-20790 (1995)                                      |
|   | D39 | Weber et al, J Am Chem Soc 16:2716 (1994)                                     |
|   | D40 | Pace and Marshall, Archives of Biochemistry and Biophysics 199:270-276 (1980) |
|   | D41 | Burke et al, Biochemistry 32:6419-6426 (1993)                                 |
|   | D42 | Volkin et al, Archives of Biochemistry and Biophysics, 300:30-41 (1993)       |
|   | D43 | Hu et al, Biochemsitry 31:4876-4882 (1992)                                    |

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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

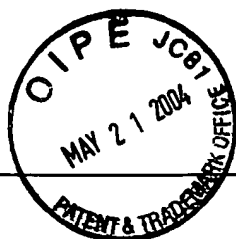
| OTHER DOCUMENTS<br>(Including Author, Title, Date, Pertinent Pages, Etc.) |     |  |
|---|-----|--|
| EXAMINER<br>INITIALS  |     | CITATION   |
|   | P44 | Copeland et al, Archives of Biochemistry and Biophysics, 289:53-61 (1991)      |
|   | P45 | Dabora et al, J Biol Chem 266:23637-40 (1991)                                  |
|   | P46 | Pace, TIBS 15:14-17 (1990)   |
|   | P47 | Keating et al, Biochemistry 27:5240-5 (1988)                                   |
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|   | P49 | Allen et al, Arch Biochem Biophys 249:137-147 (1986)                           |
|   | P50 | Busby et al, Biochem Biophys Acta 871:61-71 (1986)                             |
|   | P51 | Pace et al, J Mol Biol 188:477-486 (1986)                                      |
|   | P52 | Schnarr et al, Biochem Biophys Acta 702:155-132 (1982)                         |
|   | P53 | Oobatake et al, J Biochemistry 86:65-70 (1979)                                 |
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|   | P55 | DeFlora et al, Ital J Biochem 24:147-61 (1975)                                 |
|   | P56 | Takahasi, J Biochem 75:201-204 (1974)  |
|   | P57 | Bieth et al, Biochemical Medicine 11:350-357 (1974)                            |
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|   | P59 | Hardy et al, Science 251:439-443 (1991)  |
|   | P60 | Chen et al, Anal Chem 64:3018-3023 (1992)                                      |
|   | P61 | Silverman et al, Current Opinions in Chemical Biology Chemistry 397-403 (1998) |
|   | P62 | Broach et al, Nature 384 (Supp. 7):14-16 (1996)                                |
|   | P63 | Zhang et al, Analytical Biochemistry 264:22-25 (1998)                          |
|   | P64 | Volkin et al, Biochemistry 33:7193-7202  |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

| OTHER DOCUMENTS<br>(Including Author, Title, Date, Pertinent Pages, Etc.) |     |   |
|---|-----|---|
| EXAMINER<br>INITIALS  |     | CITATION  |
|   | P65 | Enna, Principles and Methods in Receptor Binding: 13-33, Humana Press (1987)  |
|   | P66 | Enna, Biochemical Approaches For Evaluating Drug-Receptor Interactions, Drug Discovery and Development 161-176 (1987)                                     |
|   | P67 | Baldwin, Drug Discovery and Development, 33-71 Humana Press (1987)  |
|   | P68 | Kinnier, Drug Discovery and Development, Humana Press (1987)  |
|   | P69 | Williams et al, Drug Discovery and Development 3-29 (1987)  |
|   | P70 | Volkin et al, Proceedings of the Ninth International Biotechnology Symposium and Exposition, American Chemical Society 1992 cover pages and pages 299-302 |
|   | P71 |   |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|





**INFORMATION DISCLOSURE  
STATEMENT  
BY APPLICANT**

Form 1449B

(Use several sheets if necessary)

Docket Number:  
ANP-00114.P.5.1

Application Number:  
10/820,179

Applicant: PAKULA, Andrew

Filing Date:  
April 6, 2004

Group Art Unit:  
To be determined

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER | DATE    | NAME             | CLASS | SUB-<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----|--------------------|---------|------------------|-------|---------------|-------------------------------|
|                     | P1  | 2003/0152992       | 08/2003 | Laing et al      |       |               |                               |
|                     | P2  | 2003/0113779       | 06/2003 | Arenas et al     |       |               |                               |
|                     | P3  | 2003/0059811       | 03/2003 | Djaballah et al  |       |               |                               |
|                     | P4  | 2002/0168686       | 11/2002 | Pantoliano et al |       |               |                               |
|                     | P5  | 6,337,183          | 01/2002 | Arenas et al     |       |               |                               |
|                     | P6  | 6,376,180          | 04/2002 | Tomich et al     |       |               |                               |
|                     | P7  | 6,331,392          | 12/2001 | Laing et al      |       |               |                               |
|                     | P8  | 5,679,582          | 10/1997 | Bowie et al      |       |               |                               |
|                     | P9  | 5,585,277          | 12/1996 | Bowie et al      |       |               |                               |
|                     | P10 | 5,463,564          | 10/1995 | Agrafiotis et al |       |               |                               |

**FOREIGN PATENT DOCUMENTS**

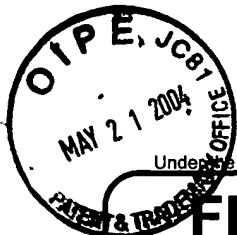
| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUB-<br>CLASS | Translation |    |
|---------------------|----|--------------------|---------|---------|-------|---------------|-------------|----|
|                     |    |                    |         |         |       |               | YES         | NO |
|                     | F1 | 97/42500           | 11/1997 | PCT     |       |               |             |    |
|                     | F2 | 97/20953           | 06/1997 | PCT     |       |               |             |    |

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER<br>INITIALS |  | CITATION |
|----------------------|--|----------|
|                      |  |          |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|



PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

**Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/820,179       |
| Filing Date          | April 6, 2004    |
| First Named Inventor | PAKULA, Andrew   |
| Examiner Name        | To be determined |
| Art Unit             | To be determined |
| Attorney Docket No.  | ANP-00114.P.5.1  |

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

501321

David R. Preston

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid   |
|----------------------------|----------------------------|------------------------|------------|
| 1001 750                   | 2001 375                   | Utility filing fee     |            |
| 1002 330                   | 2002 165                   | Design filing fee      |            |
| 1003 520                   | 2003 260                   | Plant filing fee       |            |
| 1004 750                   | 2004 375                   | Reissue filing fee     |            |
| 1005 160                   | 2005 80                    | Provisional filing fee |            |
| SUBTOTAL (1)               |                            |                        | (\$ ) 0.00 |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | X              |          |
| Independent Claims | -3** =       | X              |          |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |            |
|----------------------------|----------------------------|--|------------|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |            |
| 1201 84                    | 2201 42                    | Independent claims in excess of 3                          |            |
| 1203 280                   | 2203 140                   | Multiple dependent claim, if not paid                      |            |
| 1204 84                    | 2204 42                    | ** Reissue independent claims over original patent         |            |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |            |
| SUBTOTAL (2)               |                            |  | (\$ ) 0.00 |

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130      | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50       | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130      | 1053 130      | Non-English specification  |          |
| 1812 2,520    | 1812 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804 920*     | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*   | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110      | 2251 55       | Extension for reply within first month                                     |          |
| 1252 410      | 2252 205      | Extension for reply within second month                                    |          |
| 1253 930      | 2253 465      | Extension for reply within third month                                     |          |
| 1254 1,450    | 2254 725      | Extension for reply within fourth month                                    |          |
| 1255 1,970    | 2255 985      | Extension for reply within fifth month                                     |          |
| 1401 320      | 2401 160      | Notice of Appeal   |          |
| 1402 320      | 2402 160      | Filing a brief in support of an appeal                                     |          |
| 1403 280      | 2403 140      | Request for oral hearing   |          |
| 1451 1,510    | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110      | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,300    | 2453 650      | Petition to revive - unintentional   |          |
| 1501 1,300    | 2501 650      | Utility issue fee (or reissue)   |          |
| 1502 470      | 2502 235      | Design issue fee   |          |
| 1503 630      | 2503 315      | Plant issue fee  |          |
| 1460 130      | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50       | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180      | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40       | 8021 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809 750      | 2809 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 750      | 2810 375      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 750      | 2801 375      | Request for Continued Examination (RCE)                                    |          |
| 1802 900      | 1802 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

**SUBMITTED BY**

Name (Print/Type)

David R. Preston

Registration No.  
(Attorney/Agent)

38,710

(Complete if applicable)

Telephone 858-724-0375

Signature

Date

May 19, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.